IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Whitworth, et al.

Title:

Silicon Submount Capable of Single Wire Bonding and of Providing ESD Protection for Light

Emitting Devices

Serial No.

New -Continuation of Application Serial No. 10/228,728

Filing Date: Prior Art Unit:

Herewith 2826

Prior Examiner Minh Tran

Atty Docket:

010549/0306642 (CAMD-201-C)

Customer No. 27498

MAIL STOP: Patent Applications

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicant submits herewith patents, publications or other information of which Applicant is aware which are believed to be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), nor as an admission that the information cited is, or is considered to be, material to patentability, nor an admission that no other material information exists. The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

The references cited herein were cited in the parent application Serial No. 10/228,728 filed August 26, 2002, and therefore copies are not included. This submission is made prior to a first office action. No additional fee is due.

Dated: October 31, 2003

Respectfully submitted

PILLSBURY WINTHROP LLP

James E. Eakin, Reg. No. 27,874

2550 Hanover Street Palo Alto, CA 94304 Telephone: 650-233-4500

Fax: 650-233-4545

CERTIFICATION UNDER 37 CFR 1.10

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Date of Deposit: October 31, 2003

I hereby certify this correspondence and the documents referred to as attached hereto are being deposited on the above date with the b.S. Postal Service in an envelope marked "Express Mail Post Office to Addressee" addressed to MAIL STOP PATENT APPLICATIONS, Commissioner of Patentle, P.O. Box 1450, Alexandria, VA 22313

SHEET 1 OF 1

INFORMATION DISCLOSURE CITATION PTO-1449				Atty Docket 010549/0306642 (CAMD-201-C) Applicant Whitworth, et al. Filing Date October 31, 2003		Serial No. TO BE ASSIG	NED	
		US	.PATEN	FDOCUMENTS		#REFERENCE.		
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME		CLASS		FILING	DATE
	US 2001/0020705 A1	13 Sep 2001			257/173			
	US 2002/0028527 A1	07 Mar 2002	Maeda et al.		438/29			
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EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY		CLASS	SUBCLASS	Translation	
					02.100		Yes	No
		-						
	OTHER DO	CUMENTS (Inc	luding Au	ithor, Title, Date, Pert	inent Pages, E	tc.)		

EXAMINER				DATE CONSIDERED				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance <u>and</u> not considered. Include copy of this form with next communication to applicant.